

**PATENT**Attorney's Docket No. BIOG-20121 USAApplicant or Patentee: Jon DONSON et al.Serial or Patent No.: 0 7 / 923,692Filed or Issued: July 31, 1992For: RECOMBINANT PLANT VIRAL NUCLEIC ACIDS

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9 (f) and 1.27(d))—NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION THE REGENTS OF THE UNIVERSITY OF CALIFORNIAADDRESS OF ORGANIZATION University of California, Office of Technology Transfer, 300 Lakeside Drive, 22nd Floor, Oakland, CA 94612**TYPE OF ORGANIZATION**

UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION

TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501 (a) and 501 (c)(3))

NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA
(NAME OF STATE _____)
(CITATION OF STATUTE _____)

WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501 (a) and 501 (c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICA

WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
(NAME OF STATE _____)
(CITATION OF STATUTE _____)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled

RECOMBINANT PLANT VIRAL NUCLEIC ACIDS

by inventor(s) Jon Donson, William O. Dawson, George L. Grantham, Ann Myers-Turpen, Thomas H. Turpen, Stephen J. Garger, Laurence K. Grill
described in

the specification filed herewith.

application serial no. 0 7 / 923,692, filed July 31, 1992.

patent no. _____, issued _____.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
JON DONSON, et al.) Group Art Unit:
Serial No. 07/923,692)
Filed: July 31, 1992)
For: RECOMBINANT PLANT)
VIRAL NUCLEIC ACIDS)

)

Hon. Commissioner of Patents
and Trademarks
Washington, D.C. 20231

Sir:

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THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, assignee of the above-identified application by assignment dated 10/26, 1992, hereby appoints the members of the firm of LIMBACH & LIMBACH, a firm composed of Karl A. Limbach, P.C. (Reg. No. 18,689); George C. Limbach, P.C. (Reg. No. 19,305); John K. Uilkema, P.C. (Reg. No. 20,282); J. William Wigert, Jr., P.C. (Reg. No. 24,582); Philip M. Shew, Jr., P.C. (Reg. No. 25,376); Neil A. Smith, P.C. (Reg. No. 25,441); Cerrie L. Walthour (Reg. No. 27,755); Veronice C. Devitt (Reg. No. 29,375); Ronald L. Yin (Reg. No. 27,607); Albert P. Halluin (Reg. No. 25,227); Gerald T. Sekimure (Reg. No. 30,103); Michael A. Stallman (Reg. No. 29,444); Philip A. Girerd (Reg. No. 28,848); Michael J. Pollock (Reg. No. 29,098); Michael E. Dargosits (Reg. No. 31,243); Stephen M. Everett (Reg. No. 30,050); Alfred A. Equitz (Reg. No. 30,922); W. Petrick Bengtsson (Reg. No. 32,456); Richard A. Nebb (Reg. No. 33,540); Mark A. Dalla Vella (Reg. No. 34,147); Ian Hardcastle (Reg. No. 34,075); Todd A. Noah (Reg. No. 35,626); Richard E. Wawrzyniak (Reg. No. P36,048); Alan D. Minsk (Reg. No. P35,956); Mark C. Pickering (Reg. No. P36,239); Douglas C. Limbach (Reg. No. 35,249) and J. Thomas McCarthy (Reg. No. 22,420), 2001 Farry Building, San Francisco, CA 94111, (415) 433-4150, FAX (415) 433-8716, as its attorneys with full power of substitution to prosecute this application and to transact all business in the Patent and Trademark Office in connection therewith.

Please direct all correspondence regarding this application to the following:

LIMBACH & LIMBACH
Attn: Albert P. Halluin
2001 Farry Building
San Francisco, CA 94111

Telephone: (415) 433-4150
Facsimile: (415) 433-8716

Dated: October 26, 1992.

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

By: Candace L. Voelker
Name: Candace L. Voelker
Title: Licensing Manager,
Office of Technology
Transfer

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being submitted with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, DC 20231 on 10/30/92.

Dated: 10/30/92 By Marcia Shelly
Name: Marcia Shelly

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office addressee and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

RECOMBINANT PLANT VIRAL NUCLEIC ACIDS

The specification of which (check one) is attached hereto or was filed on July 31, 1992 as Application Serial No. 07/923,692 and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)	Priority Claimed
	Yes <input type="checkbox"/> No <input type="checkbox"/>

Number	Country	Day/Month/Year Filed	_____	_____
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Number	Country	Day/Month/Year Filed	_____	_____
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I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose all information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned
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Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Jon DONSON

Inventor's signature _____ Date _____

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Full name of second joint inventor, if any William O. DAWSON

Inventor's signature William O. DAWSON Date 10/5/92

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